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APPLICATION NUMBER	FILING OR 371 (c) DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/789,414	02/27/2004	Brian M. Aquila	SPV-047.02

CONFIRMATION NO. 5245

25181
 FOLEY HOAG, LLP
 PATENT GROUP, WORLD TRADE CENTER WEST
 155 SEAPORT BLVD
 BOSTON, MA 02110

FORMALITIES LETTER



OC000000012714123

Date Mailed: 05/19/2004

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted***Items Required To Avoid Abandonment:**

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
Applicant must submit \$ 770 to complete the basic filing fee for a non-small entity. If appropriate, applicant may make a written assertion of entitlement to small entity status and pay the small entity filing fee (37 CFR 1.27).
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.

The applicant needs to satisfy supplemental fees problems indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Additional claim fees of **\$806** as a non-small entity, including any required multiple dependent claim fee, are required. Applicant must submit the additional claim fees or cancel the additional claims for which fees are due.

SUMMARY OF FEES DUE:

Total additional fee(s) required for this application is **\$1706** for a Large Entity

07/23/2004 MAHMED1 00000052 10789414

• \$770 Statutory basic filing fee.	01 FC:1001	770.00	OP
• \$130 Late oath or declaration Surcharge.	02 FC:1051	130.00	OP
	03 FC:1201	86.00	OP
	04 FC:1202	720.00	OP
• Total additional claim fee(s) for this application is \$806			

- \$86 for 1 independent claims over 3.
- \$720 for 40 total claims over 20.

Replies should be mailed to: Mail Stop Missing Parts
Commissioner for Patents
P.O. Box 1450
Alexandria VA 22313-1450

*A copy of this notice **MUST** be returned with the reply.*

Ali

**Customer Service Center
Initial Patent Examination Division (703) 308-1202**

PART 2 - COPY TO BE RETURNED WITH RESPONSE



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Bannister, T.D. et al.

Serial No: 10/789,414

Art Unit: 1632

Filed: February 27, 2004

Attorney Document No.: SPV-047.02

For: Methods for the Stereoselective
Synthesis of Substituted Piperidines

CERTIFICATE OF FIRST CLASS MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as First Class Mail, in an envelope addressed to: Mail Stop Missing Parts, Commissioner for Patents, P.O. Box 1450, Alexandria VA 22313-1450, on July 19, 2004.

Shirine Darvish

Shirine Darvish

Mail Stop: Missing Parts
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

RESPONSE TO NOTICE TO FILE MISSING PARTS

Sir:

Enclosed is a check in the amount of \$1,706.00 for the above-identified application. This check covers the filing fee (\$770.00), fee for 1 independent claim over 3 (\$86.00), fee for 40 claims over 20 (\$720.00) and the surcharge fee (\$130.00). Also enclosed is a copy of the Notice to File Missing Parts.

Although we believe that we have submitted the correct amount to cover the above-listed items, the Commissioner is authorized to credit any overpayment or charge any deficiencies to our Deposit Account No. 06-1448, Ref. SPV-047.02.

Respectfully Submitted,

Dana Gordon

Dana M. Gordon, Ph.D.
Reg. No. 44,719

Date: July 19, 2004
Customer No: 25181

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